

PERSONAL DETAILS

Name Thomas Berger
 DOB 20 May 1965
 Nationality Swiss
 Email berger@compliance-investigations.ch
 Languages German: Fluent
 English: Fluent
 French: Conversational



QUALIFICATIONS

2019 CAS Compliance Management HSG (CM-HSG)
 University of St. Gallen, Executive School of Management

2014 Certified Fraud Examiner (CFE)
 Association of Certified Fraud Examiners (ACFE)

2009-2010 Diploma of Advanced Studies – Compliance Management (DAS)
 Lucerne University, Switzerland

2002-2004 Master of Advanced Studies – Economic Crime Investigation (MAS ECI)
 Lucerne University, Switzerland

PROFESSIONAL EXPERIENCE

Since 09/2017 Managing Director of Compliance Investigations – Thomas Berger
<https://www.compliance-investigations.ch>
 Compliance Investigations supports the client regarding

- Initial case analysis, including strategy and action recommendations
- Establishing contact and interview whistleblowers
- Check whistleblowers' credibility
- Check whether the allegation is plausible
- Establish points at issue and develop an investigation plan
- Gather and analyze evidence
- Conduct forensic interviews
- Root-cause analysis and lessons learned

Compliance Investigations offers the following training modules:

- How to conduct forensic interviews
- How to conduct internal forensic investigations

12/2012-08/2017 Novartis Pharma AG, Basel, Switzerland
 Senior Compliance Investigations Manager, Global Security

03/2010-11/2012 Credit Suisse AG, Zurich, Switzerland
 Vice President – Deputy Team-Lead International Compliance

08/2006-02/2010 ABB Asea Brown Boveri Ltd., Switzerland
 Senior Special Investigator – Office of Special Investigations (Global)

1997-2006 Public Prosecutors Office Basel, Switzerland
 Detective-Superintendent – Serious Fraud Division
 Detective in following divisions: Organized Crime, Narcotics and Homicide